

NORTHERN ARC INVESTMENT MANAGERS PRIVATE LIMITED							
Complaints Data to be displayed by PMS for each scheme							
Investor complaints data for the month ending October 2023							
S.No.	Investor Complaints received from	Pending as at the end of the last month	Received	Resolved	Total Pending	Pending complaints > 3months	Average Resolution time ^ (in days)
1	Directly from Investors	0	0	0	0	0	NA
2	SEBI (SCORES)	0	0	0	0	0	NA
3	Other Sources (if any)	0	0	0	0	0	NA
	Total						

^ Average Resolution time is the sum total of time taken to resolve each complaint in days in the current month divided by total number of complaints resolved in the current month.

Trend of Monthly Disposal of Complaints					
S.No	Month	Carried forward from previous Month*	Received	Resolved	Pending
1	November	Nil	NA	NA	NA
2	December	Nil	NA	NA	NA
3	January	Nil	NA	NA	NA
4	February	Nil	NA	NA	NA
5	March	Nil	NA	NA	NA
6	April	Nil	NA	NA	NA
7	May	Nil	NA	NA	NA
8	June	Nil	NA	NA	NA
9	July	Nil	NA	NA	NA
10	August	Nil	NA	NA	NA
11	September	Nil	NA	NA	NA
12	October	Nil	NA	NA	NA
	Total				

*SEBI vide letter SRO/OW/P/2021/2/273 dated August 27 2021 has granted the Certificate of Registration as Portfolio Manager to Northern Arc Investment Managers Private Limited. The first Discretionary PMS viz. Northern Arc Income Builder Series A was launched in December 2021

Trend of Annual Disposal of Complaints					
S.No	FY	Carried forward from previous FY*	Received	Resolved	Pending at the end of FY
1	2021-22		NA	NA	NA
2	2022-23		NA	NA	NA
3	2023-24		NA	NA	NA
	Total		NA	NA	NA

*SEBI vide letter SRO/OW/P/2021/2/273 dated August 27 2021 has granted the Certificate of Registration as Portfolio Manager to Northern Arc Investment Managers Private Limited. The first Discretionary PMS viz. Northern Arc Income Builder Series A was launched in December 2021