

NOTICE

NOTICE is hereby given that the Tenth Annual General Meeting of the Members of Northern Arc Investment Managers Private Limited ("the Company") will be held on **Friday, the 15th day of September 2023 at 10.30 a.m.** at the registered office of the company at 10th Floor, Phase I, IIT-Madras Research Park, Kanagam Village, Taramani, Chennai – 600 113 to transact the following business:

ORDINARY BUSINESS:

- 1. To receive, consider and adopt the Audited Financial Statements of the Company for the financial year ended as on 31st March 2023 together with the Reports of the Board of Directors and Auditors thereon.**

To consider and if thought fit, to pass the following resolutions, with or without modification(s) as an **Ordinary Resolution:**

"RESOLVED THAT the Board's Report, the Auditors' Report, the Audited Financial Statements of the Company for the financial year ended as on 31st March 2023 submitted at this meeting be and are hereby received, considered and adopted."

- 2. To consider the appointment of a director in place of Mr. Ashish Mehrotra (DIN: 07277318) who retires by rotation and being eligible, offers himself for reappointment.**

To consider and if thought fit, to pass the following resolutions, with or without modification(s) as an **Ordinary Resolution:**

"RESOLVED THAT pursuant to the provisions of Section 152 of the Companies Act, 2013, **Mr. Ashish Mehrotra (DIN: 07277318)** who retires by rotation at this meeting and being eligible has offered himself for re-appointment, be and is hereby re-appointed as a Director of the Company".

By Order of the Board of Directors,
For Northern Arc Investment Managers Pvt. Ltd.



Devanshi Shah
Company Secretary
Membership No.: ACS: 65481

Place: Mumbai
Date: 28.07.2023

Northern Arc Investment Managers Private Limited

Regd. Off. : 10th Floor, Phase-I, IIT-Madras Research Park
Kanagam Village, Taramani, Chennai - 600 113, India
+91 44 6668 7000 | contact@northernarcinvestments.com
www.northernarcinvestments.com

Corporate Off. : "The Capital", Office No. 902-B Wing
9th Floor, Plot No. C-70, Bandra Kurla Complex,
Bandra (E), Mumbai - 400 051, India
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NOTES

1. *Annexure pertaining to the items is annexed to the said notice.*
2. *A member entitled to attend and vote at the meeting is entitled to appoint a proxy instead of himself/herself to attend and vote at the meeting and the proxy need not be a member.*
3. *The Members and Proxies are requested to bring the Attendance Slip duly filled in for attending the Meeting.*
4. *Corporate Members intending to send their authorized representative to attend the meeting are requested to send to the Company a certified copy of the Board resolution authorizing such representative to attend and vote on their behalf at the meeting.*
5. *The Register of Directors and Key Managerial Personnel and their shareholding, maintained under Section 170 of the Companies Act, 2013, will be available for inspection by the members at the AGM.*
6. *The Register of Contracts or Arrangements in which Directors are interested, maintained under Section 189 of the Companies Act, 2013, will be available for inspection by the members at the AGM.*
7. *The documents referred to in the proposed resolutions are available for inspection at the Registered Office of the Company during working hours and shall also be so available during the meeting.*

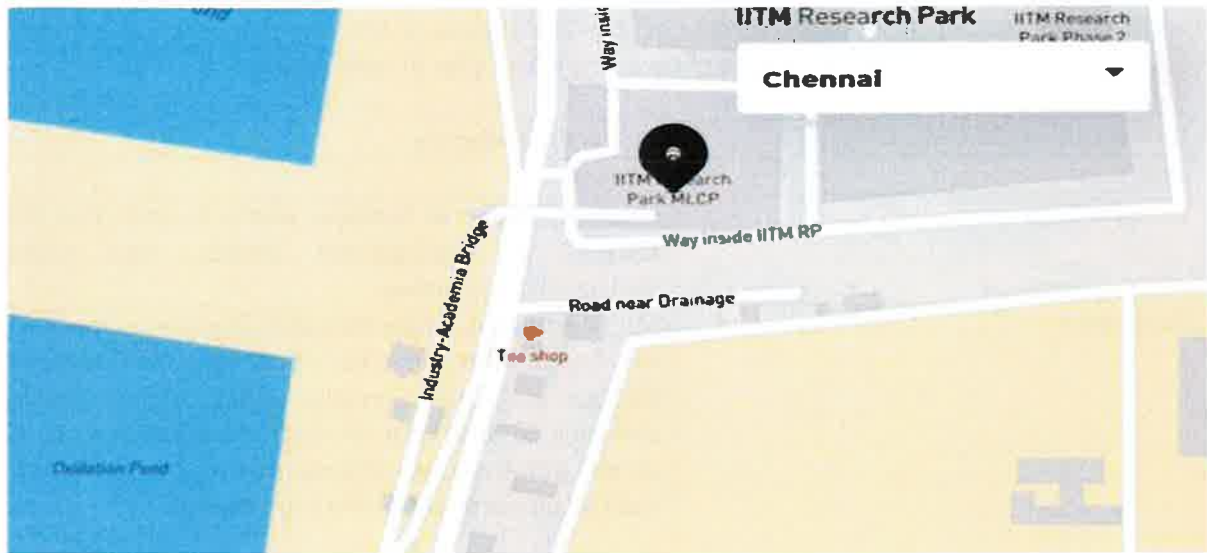


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Route map to the venue of Annual General Meeting



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ANNEXURE TO THE NOTICE

Details of Directors seeking reappointment at the Annual General Meeting pursuant to Secretarial Standard 2 (SS-2), issued by the Institute of Company Secretaries of India (ICSI):

Name	Mr. Ashish Mehrotra
Age	54 years
Qualifications	Master's degree in business administration from the Institute of Management Studies, Devi Ahilya Vishwavidyalaya, Indore.
Experience	<p>Mr. Mehrotra is a Non-Executive Director of Northern Arc Investments Pvt. Ltd. He is also the Managing Director and Chief Executive Officer of our holding company i.e. Northern Arc Capital Limited and serves as the Non-Executive Chairperson of Pragati Finserv, rural finance arm of Northern Arc Group.</p> <p>Ashish has over 25 years of experience across Retail & Commercial Banking, Wealth Management and Insurance. In his last role, he was the MD & CEO of Niva Bupa Health Insurance (previously known as Max Bupa Health Insurance). Before that, Ashish spent over 20 years at Citibank. He was previously a Managing Director and also the Retail Bank Head for Citibank India. Ashish holds a Master of Business Administration Degree.</p>
Date of first appointment on the Board	27.07.2021
Relationship with other directors and key managerial personnel of the Company	Nil
Directorship held in other Companies (excluding foreign companies)	<ol style="list-style-type: none"> 1. Northern Arc Capital Limited 2. Northern Arc Investment Adviser Services Private Limited 3. Northern Arc Foundation 4. Northern Arc Securities Private Limited 5. NSE Investments Limited 6. Aapt Holdings Private Limited 7. Aapt Fintech Private Limited 8. Aapt Insurance Brokers Private Limited 9. Aapt Investment Advisors Private Limited 10. Pragati Finserv Private Limited 11. Finreach Solutions Private Limited
Membership/Chairmanship of Committees of other Companies	<p>Details of Membership of Committees of Northern Arc Capital Limited:</p> <ul style="list-style-type: none"> • Risk Committee • CSR Committee • IT Strategy Committee



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	• Stakeholders Relationship Committee
Number of Shares held in the Company	Nil
No. of Board Meeting attended during the financial year 2023-24	1 out of 1



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